



## **Safeguarding and Welfare Requirement: Suitable People**

Providers must ensure that people looking after children are suitable to fulfil the requirements of their roles.

## **10.2 Complaints Procedure for Parents and Service Users**

There is a fair way of dealing with issues as they arise in an informal way, but parents may wish to exercise their right to make a formal complaint. They are informed of the procedure to do this and complaints are responded to in a timely way. The same procedures apply to agencies who may have a grievance or complaint.

### **Parents**

- If a parent is unhappy about any aspect of their child's care or how he/she feels he/she has been treated, this should be discussed with the child's key person. The key person will listen to the parent and acknowledge what he/she is unhappy about. The key person will offer an explanation and an apology if appropriate. The issue and how it was resolved is recorded in the Complaints Investigation Record. The recording will also make clear whether the issue being raised relates to a concern about quality of the service or practice, or a complaint. For allegations relating to serious harm to a child caused by a member of staff or volunteer procedure 6.2 Allegations Against Staff, Volunteers or Agency Staff will be followed.
- If the parent is not happy with the key person's response or wishes to complain about the key person or any other member of staff, he/she will be directed to the setting leader. Some parents will want to make a written complaint; others will prefer to make it verbally, in which case the setting leader writes down the main issues of the complaint using the Formal Complaints Form and Complaints Investigation Record and these are stored securely.
- The setting leader will investigate the complaint and provide time to feedback to the parent/carer within 28 days. A confidential written report of the investigation is kept in the Complaints File.
- If the parent is still not satisfied, or if the complaint is about the setting leader, the setting leader is asked to forward their complaint verbally or in writing to the committee chairperson.
- Those in attendance at all meetings in response to a complaint should be agreed by the parties involved. The investigating party i.e. the leader or committee member(s) should be consistently involved in all meetings. Either party are entitled to have support in these meetings if they wish.
- Brief notes should be taken during meetings held in response to a complaint and the content of those notes should be agreed by all parties following the meeting.

- If the parent is still not satisfied, then he/she is entitled to appeal the outcome verbally or in writing to the setting committee chair who will pass the matter on to all committee members for further investigation, who will respond to the parent/carer within a further 14 days.
- If the complainant believes that the matter has not been resolved and there has been a breach of the EYFS requirements they are entitled to make a complaint to Ofsted. The leader will assist in any complaint investigation as well as in producing documentation that records the steps that were taken in response to the original complaint.
- The setting leader ensures that parents know they can complain to Ofsted by telephone or in writing at any time as follows:

Applications, Regulatory and Contact (ARC) Team, Ofsted, Piccadilly Gate, Store Street, Manchester M1 2WD or telephone: 0300 123 1231

## **Agencies**

- If an individual from another agency wishes to make a formal complaint about a member of staff or any practice of the setting, it should be made in writing to the setting leader.
- The complaint is acknowledged in writing within 10 days of receiving it.
- The setting leader investigates the matter and meets with the individual to discuss the matter further within 28 days of the complaint being received.
- An agreement needs to be reached to resolve the matter.
- If agreement is not reached, the complainant may write to the setting's committee chairperson, who acknowledges the complaint within 5 days and reports back within 14 days.
- If the complainant is not satisfied with the outcome of the investigation, they are entitled to appeal and are referred to all committee members.

## **Ofsted Complaints Record**

- Legislation requires settings to keep a record of complaints and disclose these to Ofsted at inspection, or if requested by Ofsted at any other time.
- The record of complaints is a summative record only.
- A record of complaints will be kept for at least 3 years.
- In all cases where a complaint is upheld a review will be undertaken by the elected officers on behalf of the committee to look for ways to improve practice where it is required. Full committee will be informed of the outcome of the review.

This procedure is displayed on Parent Notice Board.

## **Further Guidance**

[Complaint Investigation Record](#) (Alliance Publication)